

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- PEERLESS BHAVAN,3 ESPALANDE EAST,
KOLKATA
West Bengal
700069
India
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)
- | Type of the Company | Category of the Company | Sub-category of the Company |
|---------------------|---------------------------|-------------------------------|
| Public Company | Company limited by shares | Indian Non-Government company |
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(b) CIN of the Registrar and Transfer Agent

Name of the Registrar and Transfer Agent

CB MANAGEMENT SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

P-22 BONDAL ROAD.
KOLKATA

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	PEERLESS FINANCIAL PRODUC	U70109WB1987PLC042819	Subsidiary	100
2	PEERLESS HOSPITEX HOSPITAL	U85110WB1989PLC046938	Subsidiary	93.64
3	PEERLESS HOTELS LTD.	U55101WB1990PLC049988	Subsidiary	99.95
4	PEERLESS SECURITIES LTD	U67120WB1995PLC067616	Subsidiary	97.48
5	PEERLESS FINANCIAL SERVICES	U65993WB1988PLC044077	Subsidiary	92.57
6	BENGAL PEERLESS HOUSING D	U70101WB1994PLC063280	Joint Venture	36.7

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	3,500,000	3,315,584	3,315,584	3,315,584
Total amount of equity shares (in Rupees)	350,000,000	331,558,400	331,558,400	331,558,400

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	3,500,000	3,315,584	3,315,584	3,315,584
Nominal value per share (in rupees)	100	100	100	100
Total amount of equity shares (in rupees)	350,000,000	331,558,400	331,558,400	331,558,400

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	3,215,752	99,832	3315584	331,558,400	331,558,400	

Increase during the year	0	75	75	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify		75	75			
DEMATERIALIZATION						
Decrease during the year	75	0	75	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	75		75			
DEMATERIALIZATION						
At the end of the year	3,215,677	99,907	3315584	331,558,400	331,558,400	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE870Y01018

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	16/09/2022
Date of registration of transfer (Date Month Year)	
Type of transfer	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred	Amount per Share/ Debenture/Unit (in Rs.)

Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>			
Type of transfer		<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)		<input type="text"/>	
Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

(iv) * Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

1,092,702,691

(ii) Net worth of the Company

19,883,010,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,220,523	36.81	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,017,861	30.7	0	
10.	Others	0	0	0	
	Total	2,238,384	67.51	0	0

Total number of shareholders (promoters)

10

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	529,308	15.96	0	
	(ii) Non-resident Indian (NRI)	124,800	3.76	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	

8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	330,720	9.97	0	
10.	Others IEPF & Custodian of Enemy	92,372	2.79	0	
	Total	1,077,200	32.48	0	0

Total number of shareholders (other than promoters)

58

**Total number of shareholders (Promoters+Public/
Other than promoters)**

68

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	10	10
Members (other than promoters)	57	58
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	2	0	1	0	9.79	0
B. Non-Promoter	3	5	3	6	0	0
(i) Non-Independent	3	3	3	3	0	0
(ii) Independent	0	2	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	5	5	4	6	9.79	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

12

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
PARTHA SARATHI BH/	00329479	Director	0	
BHARGAB LAHIRI	00043772	Managing Director	0	01/04/2023
DEEPAK KUMAR MUK	00046690	Director	0	
JAYANTA ROY	00022191	Managing Director	324,640	
DIPANKAR CHATTERJ	00031256	Director	0	
SOUMENDRA MOHAN	01125409	Director	0	
SUJIT KARPURKAYAS	03161994	Additional director	0	
SUMIT BOSE	03340616	Additional director	0	
ASOKE KUMAR MUKH	00173745	Whole-time directo	0	
ASOKE KUMAR MUKH	AEMPM5995E	CFO	0	
SUPRIYO SINHA	07666744	Whole-time directo	0	
UDITA DUTTA	ATCPD6111G	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

9

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SUNIL KANTI ROY	00043966	Managing Director	09/05/2022	CESSATION
SUPRIYO SINHA	07666744	Whole-time director	01/06/2022	APPOINTMENT
KRISHNAMURTI BALA	ACYPB5961B	Company Secretary	31/08/2022	CESSATION
UDITA DUTTA	ATCPD6111G	Company Secretary	01/09/2022	APPOINTMENT
SUSIM MUKUL DATTA	00032812	Director	16/09/2022	CESSATION
PARTHA SARATHI BH	00329479	Director	16/09/2022	CHANGE IN DESIGNATION
SUMIT BOSE	03340616	Additional director	21/10/2022	APPOINTMENT
SAMAR BHATTACHAR	00044294	Whole-time director	31/10/2022	CESSATION
SUJIT KARPURKAYAS	03161994	Additional director	10/02/2023	APPOINTMENT

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEET	16/09/2022	68	9	67.63

B. BOARD MEETINGS

*Number of meetings held

7

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	21/06/2022	10	10	100
2	10/08/2022	10	8	80
3	16/09/2022	10	10	100
4	21/10/2022	10	9	90

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
5	19/12/2022	9	9	100
6	10/02/2023	10	10	100
7	28/03/2023	10	10	100

C. COMMITTEE MEETINGS

Number of meetings held

18

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	20/06/2022	3	3	100
2	AUDIT COMM	19/12/2022	3	3	100
3	AUDIT COMM	09/02/2023	3	3	100
4	AUDIT COMM	28/03/2023	3	3	100
5	NOMINATION	20/06/2022	3	3	100
6	NOMINATION	21/10/2022	2	2	100
7	NOMINATION	09/02/2023	3	3	100
8	NOMINATION	28/03/2023	3	3	100
9	STAKEHOLDER	06/02/2023	3	3	100
10	CORPORATE	18/04/2022	4	2	50

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	31/07/2023
								(Y/N/NA)
1	PARTHA SAR	7	7	100	9	9	100	Yes
2	BHARGAB LA	7	7	100	8	6	75	Not Applicable
3	DEEPAK KUM	7	7	100	12	12	100	Yes

4	JAYANTA ROY	7	7	100	6	6	100	Yes
5	DIPANKAR CHAKRABORTY	7	7	100	2	2	100	Yes
6	SOUMENDRA CHAKRABORTY	7	6	85.71	10	10	100	Yes
7	SUJIT KARPL	2	2	100	0	0	0	No
8	SUMIT BOSE	4	3	75	0	0	0	Yes
9	ASOKE KUMAR MUKHERJEE	7	7	100	1	1	100	Yes
10	SUPRIYO SINHA	7	7	100	6	6	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

6

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUNIL KANTI ROY	MANAGING DIRECTOR	14,551,352	1,000,000	0	0	15,551,352
2	BHARGAB LAHIRI	JOINT MANAGING DIRECTOR	22,082,211	0	0	540,000	22,622,211
3	ASOKE KUMAR MUKHERJEE	DIRECTOR-FINANCE	12,105,066	10,000,000	0	490,000	22,595,066
4	SAMAR BHATTACHARYA	DIRECTOR-COMMERCIAL	13,406,177	2,000,000	0	185,000	15,591,177
5	JAYANTA ROY	MANAGING DIRECTOR	20,976,768	20,000,000	0	615,000	41,591,768
6	SUPRIYO SINHA	DIRECTOR- BUSINESS	20,020,046	3,000,000	0	490,000	23,510,046
	Total		103,141,620	36,000,000	0	2,320,000	141,461,620

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	KRISHNAMURTI BANERJEE	COMPANY SECRETARY	4,842,200	0	0	0	4,842,200
2	UDITA DUTTA	COMPANY SECRETARY	611,695	0	0	0	611,695
	Total		5,453,895	0	0	0	5,453,895

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUSIM MUKUL DAS	CHAIRMAN	0	1,000,000	0	185,000	1,185,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
2	PARTHA SARATHI	CHAIRMAN	0	3,000,000	0	6,490,000	9,490,000
3	DEEPAK KUMAR M	INDEPENDENT	0	2,500,000	0	615,000	3,115,000
4	SOUMENDRA MOH	INDEPENDENT	0	2,500,000	0	520,000	3,020,000
5	DIPANKAR CHATT	DIRECTOR	0	1,750,000	0	465,000	2,215,000
6	SUMIT BOSE	ADDITIONAL DI	0	1,000,000	0	185,000	1,185,000
7	SUJIT KARPURKA	ADDITIONAL DI	0	250,000	0	90,000	340,000
	Total		0	12,000,000	0	8,550,000	20,550,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

2

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)
BHARGAB LAHIRI	REGIONAL DIREC	26/08/2022	SECTION 184 OF T	NON DISCLOSUR	180,000
BHARGAB LAHIRI	REGIONAL DIREC	26/08/2022	SECTION 166 OF T	FAILURE TO DISC	100,000

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SAURABH BASU

Whether associate or fellow

Associate Fellow

Certificate of practice number

14347

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

ASOKE
KUMAR
MUKHUTY
Digitally signed by
ASOKE KUMAR
MUKHUTY
Date: 2023.09.22
15:43:50 +05'30'

DIN of the director

00173745

To be digitally signed by

UDITA
DUTTA
Digitally signed by
UDITA DUTTA
Date: 2023.09.22
16:02:51 +05'30'

Company Secretary

Company secretary in practice

Membership number

39589

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

Shareholders List.pdf
Details of Transmission.pdf
Committee Meetings Attendance.pdf
MGT 8.pdf
Details of transfer.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



THE PEERLESS GENERAL FINANCE & INVESTMENT COMPANY LIMITED

CIN (U66010WB1932PLC007490)

PERIOD – 01/04/2022 - 31/03/2023

ADDITIONAL INFORMATION IN RESPECT OF THE COMPANY WHICH COULD NOT BE FILLED UP IN FORM MGT – 7 FOR THE RELEVANT PERIOD

**1. Para IX (C) of MGT – 7
Committee Meetings**

SL. No	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Number of members attended	% of attendance
11.	Corporate Social Responsibility Committee	06/06/2022	3	3	100
12.	Corporate Social Responsibility Committee	06/02/2023	4	4	100
16.	IT Strategy Committee	21/10/2022	3*	3**	100
17.	IT Strategy Committee	10/02/2023	3*	3**	100
18.	Treasury Risk Management Committee	08/11/2022	4	4	100
19.	Treasury Risk Management Committee	21/12/2022	4	3	75
20.	Treasury Risk Management Committee	14/01/2023	4	4	100
21.	Treasury Risk Management Committee	20/02/2023	4	3	75

Certified to be a true Copy
For & on behalf of
The Peerless General Finance
& Investment Co. Ltd.

Arditi Dutt
Company Secretary
A39589

*Director-Members

**Director-Members present

The Peerless General Finance & Investment Company Limited

CIN : U66010WB1932PLC007490

Peerless Bhavan, 3, Esplanade East, Kolkata – 700 069

☎ 033-2230 3740, 2248 3001/3247, 2243 0325/6758, ☎ 033-2243 5339

✉ feedback@peerless.co.in 🌐 www.peerless.co.in





Note

The Demat transaction statement with respect to transfer of shares of The Peerless General Finance & Investment Company Limited during the FY 2022-23, as received from the Company's Registrar and Share Transfer Agents, C B Management Services Private Limited is attached.

Certified to be a true Copy
For & on behalf of
The Peerless General Finance
& Investment Co. Ltd.

Md. Dilip Dutt
Company Secretary

ACS Membership No.-A39589

The Peerless General Finance & Investment Company Limited

CIN : U66010WB1932PLC007490

Peerless Bhavan, 3, Esplanade East, Kolkata – 700 069

☎ 033-2230 3740, 2248 3001/3247, 2243 0325/6758, ☎ 033-2243 5339

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PEERLESS GENERAL FINANCE & INVESTMENT CO LIMITED

DEMAT TRANSACTION STATEMENT 1 AND ABOVE SHARES BETWEEN 14.10.22 AND 21.10.22

FOLIO_NO	FIRST HOLDER_NAME	BALANCE AS ON 14.10.22	SALE_QTY	BUY_QTY	DEMAT_QTY	BALANCE AS ON 21.10.22
IN30051314434786	AGNELO XAVIER FERNANDES	300	300	0	0	0
IN30051389655319	ANNA MARIA ISABELLA FERNANDES	0	0	300	0	300
		300	300	300	0	300



THE PEERLESS GENERAL FINANCE & INVESTMENT COMPANY LIMITED

CIN (U66010WB1932PLC007490)

PERIOD – 01/04/2022 - 31/03/2023

ADDITIONAL INFORMATION IN RESPECT OF THE COMPANY WHICH COULD NOT BE FILLED UP IN FORM MGT – 7 FOR THE RELEVANT PERIOD

1. Para IV (iii) of MGT – 7

Details of shares transmission since closure date of last financial year

Date of the previous Annual General Meeting	:	16/09/2022
Date of Board Meeting where transmission was effected	:	21/06/2022
Type of transmission	:	Equity
Number of shares transmitted	:	75
Amount per share	:	100
Ledger folio of the deceased shareholder	:	101/2
Name of the deceased shareholder	:	Vanita Vadilal Bhimani
Ledger folio of the shareholder to whom the share is being transmitted	:	59/3
Name of the shareholder to whom the share is being transmitted	:	Vipin Bhimani

Certified to be a true Copy
For & on behalf of
The Peerless General Finance
& Investment Co. Ltd.

Aldib Sult
Company Secretary
A39589

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Saurabh Basu

ACS, ACMA, MBA (Fin)
Practising Company Secretary
Insolvency Professional

S BASU & ASSOCIATES

Company Secretaries
Code No.- S2017WB456500
10/6/2 Raja Rammohan Roy Road,
3rd Floor, Kolkata - 700008

FORM NO. MGT.8

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

We have examined the registers, records, books and papers of M/s **THE PEERLESS GENERAL FINANCE & INVESTMENT COMPANY LIMITED (CIN: U66010WB1932PLC007490)** having registered office at **Peerless Bhavan, 3 Esplanade East, Kolkata 700069** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on **31st March, 2023** (Year under Review). In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. As per records available and explanation provided, during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under to the extent it is applicable to the Company in respect of:

1. Its status under the Act : **Active** ;
2. Maintenance of registers/records & making entries therein within the time prescribed therefor;
3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal , Court or other authorities within the prescribed time ;
4. Calling/convening/holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed
5. Closure of Register of Members/Security holders, as the case may be.



Continuation Sheet

S BASU & ASSOCIATES

Company Secretaries

Code No.- S2017WB456500

6. Advances/loans to its directors and/or persons or firms or companies referred in Section 185 of the Act;
7. Contracts/arrangements with related parties as specified in section 188 of the Act;
8. Issue or allotment or transfer or transmission or buy back of securities/redemption of preference shares or debentures/alteration or reduction of share capital/conversion of shares/securities and issue of security certificates in all instances - A transmission of shares was held during the period under review;
9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the act ;
10. Declaration/payment of dividend; transfer of unpaid/unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the act;
11. Signing of audited financial statement as per the provisions of section 134 of the act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. Constitution/appointment/re-appointments/retirement/filling up casual vacancies/ disclosures of the directors, key managerial personnel and the remuneration paid to them;
13. Appointment/reappointment/filling up casual vacancies of auditors as per the provisions of section 139 of the act-
14. Approvals required to be taken from the central government, tribunal, regional director, registrar, court or such other authorities under the various provisions of the act;
15. Acceptance/renewal/repayment of deposits--*During the year under review the Company has not accepted/ renewed any deposit. The Company has transferred Rs. 47960 during the period under review unclaimed/unpaid amount of deposit of the Company to the Investor Education and Protection Fund (IEPF) .The Investor Education and Protection Fund (IEPF) Authority has demanded penal interest on delaying transfer of unclaimed/unpaid deposit amount to IEPF.*



Continuation Sheet

S BASU & ASSOCIATES

Company Secretaries

Code No.- S2017WB456500

16. Borrowings from its directors, members, public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable;
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. Alteration of the provisions of the memorandum and/or articles of association of the company -The Company has *altered Articles of Association during the year under review* .

Place: Kolkata
Date: 18.09.2023

For S Basu & Associates
Company Secretaries
Firm Registration No : S2017WB456500



Saurabh Basu
Proprietor
ACS: 18686 ; C.P.: 14347
Peer Review No : 1017/2020
UDIN: A018686E001030697

Continuation Sheet

S BASU & ASSOCIATES

Company Secretaries

Code No.- S2017WB456500

"ANNEXURE A"

The Certificate (MGT 8) for the financial year ended **31st March, 2023** of even date is to be read along with this Annexure:

1. Maintenance of Secretarial records is the responsibility of the management of the Company. Our responsibility is to express an opinion on these secretarial records, systems, standards and procedures based on our verification and observation.
2. We have followed the general practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. The verification was done in some cases on the test basis to ensure that correct facts are reflected in Secretarial records. We believe that the processes and practices, we followed provide a reasonable basis for our opinion.
3. We have not verified the correctness and appropriateness of financial records and books of accounts of the Company.
4. The Investor Education and Protection Fund Authority has demanded Penal Interest on delayed transfer of unclaimed/ unpaid amount to IEPF vide their letter dt 24/06/19. As on 31.03.2023, the matter was under sub-judice.
5. The Company has filed compounding petitions before competent Authority of MCA pursuant to section(s) 184 & 166 of the Companies Act, 2013 .
6. Where ever required, we have obtained the Management representation about compliance of laws, rules and regulations and happenings of events etc.

Place: Kolkata
Date: 18.09.2023

For S Basu & Associates
Company Secretaries
Firm Registration No : S2017WB456500



ACS: 18686 ; C.P.: 14347
Peer Review No : 1017/2020
UDIN: A018686E001030697



NOTE

The shareholder details of The Peerless General Finance & Investment Company Limited as on 31.03.2023, as received from the Company's Registrar and Share Transfer Agents, C B Management Services Private Limited is attached.

Certified to be a true Copy
For & on behalf of
The Peerless General Finance
& Investment Co. Ltd.

Mdib Dutt

Company Secretary

A39589

The Peerless General Finance & Investment Company Limited

CIN : U66010WB1932PLC007490

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